



**Goa University**  
**Goa Business School**  
**Management Discipline**  
**Report on Regional Investors Seminar for Awareness**

<b>1. Title of the Event/Activity/program</b>	Regional Investors Seminar for Awareness
<b>2. Date and Time</b>	9 <sup>th</sup> February 2024 from 11.30 am to 1.00 pm
<b>3. Mode of conduct (Physical/Online)</b>	Physical (Venue: Room No. FF-7, Goa Business School)
<b>4. School/ Directorate/ Section</b>	Goa Business School
<b>5. Collaborating Agency/School/Directorate</b>	BSE Investor Protection Fund, Securities and Exchange Board of India (SEBI), and Central Depository Services (India) Limited (CDSL)
<b>6. Detail of the Resource Person (Brief biodata)</b>	<p><b>1. Ms. Rupal Khandelwal</b> Deputy General Manager in Listing business at BSE.</p> <p>She started her career as a Merchant Banker with UTI Securities Limited and has an extensive experience of various domains in Listing. She is spearheading listing business team in BSE since last 8 years covering IPOs, Debt Issuances, REITs, InvITs, Buyback Delisting, Scheme of arrangement and ESOP. With her expertise in due diligence, she has engineered compliance and control standards in the Listing Department to balance and optimize business goals vs regulatory expectations.</p> <p>She has also represented BSE at different committees formed by SEBI on various discussion papers and has been instrumental in suggesting various reforms in the regulations ranging from equity to Debt, REITs and InvITs.</p> <p>She is an alumna of Pune University</p>

	<p>with Master's in Business Administration specializing in Finance. Presently, she is also pursuing Law (LL.B.) from S.N.D.T University.</p> <p><b>2. Mr. D. N. Bagali</b> Assistant General Manager, Office of Investor Assistance and Education, SEBI</p> <p>A Civil Servant having more than 25 years of experience in various Departments of the Government of India and Regulatory Bodies in the Commodity Derivatives Market, Capital Market, Research and Foreign Trade activities.</p> <p>Presently he serves as the AGM with the Securities and Exchange Board of India (SEBI), the capital market regulator of India handling activities in the Investor Awareness Department.</p> <p>He has 8 years in the regulation of Capital Market in various capacities at the Securities and Exchange Board of India ('SEBI'), Mumbai, and 12 years in the regulation of commodity derivatives market in various capacities at the erstwhile Forward Markets Commission ('FMC'), Department of Economic Affairs, Government of India. 7 years in Research and Foreign Trade related activities in the Government of Karnataka</p> <p>Educational Qualifications: Bachelor of Law from Mumbai University Masters' Degree in Economics from Gokhale Institute of Politics and Economics (GIPE) (Deemed to be University), Pune</p> <p><b>3. Mr. Sanjay Nunes</b> Assistant Manager – Investor Protection Fund (CDSL)</p>
<b>7. Number of Faculty attended/participated</b>	2
<b>8. Number of Student attended / participated</b>	62
<b>9. No. of external students/faculty/other participants</b>	Nil
<b>10. The objectives of the Program/activity/event</b>	<p>The purpose of this Seminar is:</p> <p>a) To mentor and guide the students to obtain and use the information required for investing, evaluate various investment options to suit their specific goals, ascertain rights and</p>

	<p>obligations in a particular investment, deal through registered intermediaries, take necessary precautions, and seek help in case of any grievance.</p> <p>b) To create awareness among the students about the financial and securities market and to empower them to be smart investors.</p>
<b>11. Description of the Program/activity/event</b>	<p>Mr. D.N. Bagali presented an overview of SEBI, its regulatory powers, and elaborated on key investor regulations and its overall functioning.</p> <p>Ms. Rupal Khandelwal briefed about the key concepts of investment which an investor must be aware of before venturing into investment in the stock market. She clarified various myths and misconceptions about investments and also warned about the prevailing fraudulent practices in the area of investment.</p> <p>Mr. Sanjay Nunes discussed the key role and functioning of CDSL in delivering depository services in the stock market. He elaborated on concepts such as dematerialization, rematerialization, and pledging of securities and detailed the rights and obligations on the part of the depository service providers.</p>
<b>12. Benefit/Key outcomes of the Program/activity/event</b>	<p>The students were able to:</p> <p>a) List out their investment goal as per their financial needs.</p> <p>b) Explore investment alternatives keeping in view the risk and return involved.</p> <p>c) Understand the prerequisites to investing in the stock market.</p> <p>d) Reduce the risk while investing and will be able to do fair dealings with the authenticated stock market participants.</p>
<b>13. Enclosures with report</b>	<p>Flyer, Geo-tag photos, Attendance of students/faculty/external participants.</p>



**Dr. Priyanka Naik**  
Asst Professor, GBS  
Faculty Coordinator



**Dr. Suraj Velip**  
Asst Professor, GBS  
Program Director (MBA)



**Prof. Jyoti Pawar**  
Professor, GBS  
Dean, GBS



# GOA BUSINESS SCHOOL GOA UNIVERSITY

IN ASSOCIATION



CDSL

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PRESENTS

## REGIONAL INVESTORS SEMINAR FOR AWARENESS

RESOURCE PERSON

MS. RUPAL KHANDLWAL,  
DEPUTY GENERAL MANAGER IN  
LISTING BUSINESS, BSE

MR. D.N. BAGALI,  
ASSISTANT GENERAL MANAGER,  
OFFICE OF INVESTOR ASSISTANCE  
AND EDUCATION, SEBI



09/02/24



11.30am to 1.00pm



Room No. FF- 7, Goa Business School



8669609280



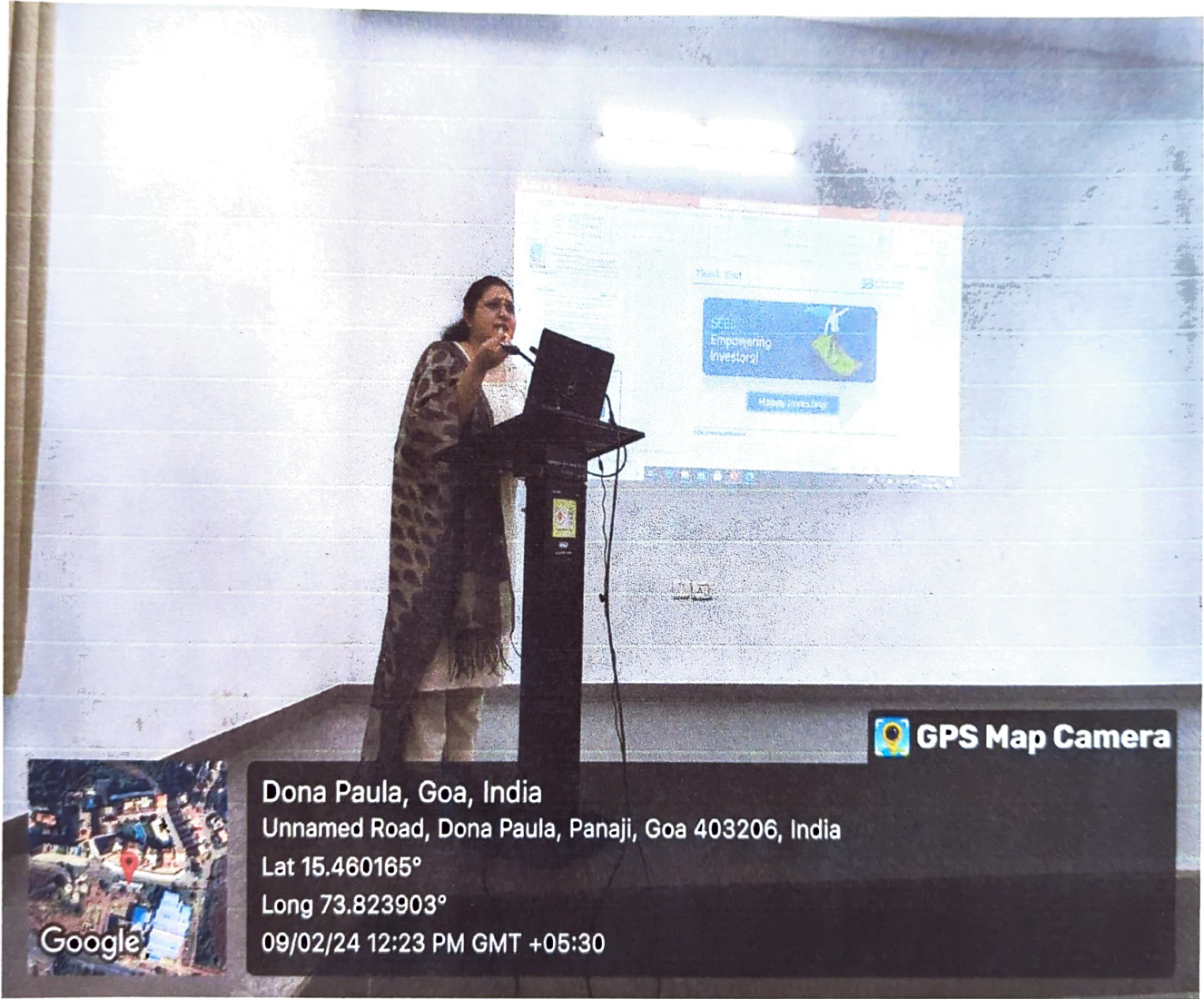
GOABUSINESSSCHOOL@UNIGOA.AC.IN




@GOABUSINESSSCHOOL

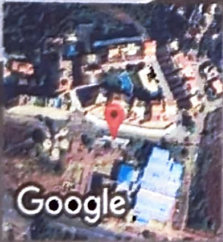


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 **GPS Map Camera**


**Dona Paula, Goa, India**  
Unnamed Road, Dona Paula, Panaji, Goa 403206, India  
Lat 15.460165°  
Long 73.823903°  
09/02/24 12:23 PM GMT +05:30




### SEBI Complaints Address System (SCORES)

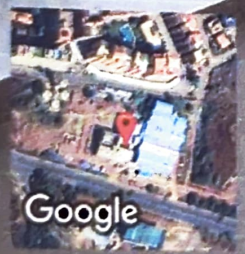
- SCORES platform: <https://scores.sebi.gov.in>
- Online platform for investors to lodge their complaints related to securities market
- Complaints against listed companies and SEBI Registered Intermediaries

Status of every complaint:  
- can be viewed online in the SCORES website  
- can be obtained through toll free helpline  
- investor can seek/provide clarification on complaint online  
- Unique registration number for future reference and tracking  
- Complaints received by SEBI against listed companies and SEBI Registered Intermediaries are dealt through SCORES




 **GPS Map Camera**

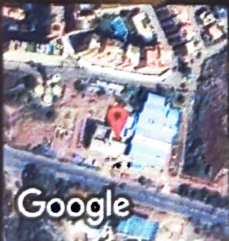
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**MDR3, Dona Paula, Panaji, Goa 403206, India**  
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**Long 73.824009°**  
**09/02/24 12:09 PM GMT +05:30**






 **GPS Map Camera**

Dona Paula, Goa, India  
MDR3, Dona Paula, Panaji, Goa 403206, India  
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Long 73.824004°  
09/02/24 12:05 PM GMT +05:30



Google



 **GPS Map Camera**

Dona Paula, Goa, India  
MDR3, Dona Paula, Panaji, Goa 403206, India  
Lat 15.45963°  
Long 73.824018°  
09/02/24 01:25 PM GMT +05:30





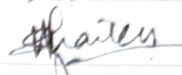





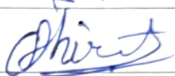

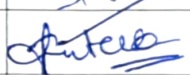






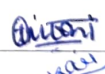


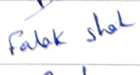





EVENT: REGIONAL INVESTORS SEMINAR FOR AWARENESS

Date: - 09/02/2024

ATTENDANCE

Sr. No.	Name of the Participant	Programme (MBA/MBA(FS), MCA, etc.)	Signature
1	Rohit Kumbhar	MBA	RMK...
2	Resima Sequira	MBA	Resima
3	Moviska Rebello	MBA	CRRebello
4	Christina Pereira	M.B.A	Christina
5	Samudhi Korgaonkar	M.B.A	Samudhi
6	Purva Sakshi	M.B.A	Purva
7	Gauravi Naik Burye	M.B.A	GNBurye
8	Shamta Yadav.	M.B.A	Shamta
9	WASIM BADEKHAN	M.B.A	W.Badekhan
10	MAHESH GAWADE	MBA	M.Gawade
11	Prathamesh Mesta	MBA	Prathamesh
12	Niyana Naik Parpati	MBA	Niyana
13	Megha P. Bandodkar	MBA	M.Bandodkar
14	Ritika P. Naik	MBA	Ritika
15	Pajun P. Sheikh	MBA	Pajun
16	Sivran Barve	MBA	Sivran
17	Anjali <del>Yadav</del> Kudachi	MBA	Anjali
18	Anjati Isra Khan	MBA	Anjati
19	Afiya Sadki	MBA	Afiya
20	Jasmine Tane	MBA	Jasmine
21	Aakansha Kaloji	MBA	Aakansha
22	Abel Abraham	MBA	Abel

Sr. No.	Name of the Participant	Programme (MBA/MBA(FS), MCA, etc.)	Signature
23.	Jishnu Prasad	MBA	
24	K. Sanjay	MBA	
25.	Ruheena Shaikh	MBA	
26.	Omkar Dilip Bhagat Amorkar	MBA	
27	Kaashik/Ashutosh Khandeparkar	MBA	
28	<del>Kaashik</del> Kaustubh Parab	MBA	
29	Anuj Chanekar	MBA	
30	Pranjali Salunkar	MBA	
31	Omkar Shirvant	MBA	
32	Siddhant Moraykar	MBA	
33	Jonathan Antao	MBA	
34	VEDANT NAYAK	MBA	
35	Pranav P. Nagvekar	MBA	
36	Carol Dhouza	MBA	
37	Anandh. Vengulekar	MBA	
38.	SATEJ S KHANDEPARKAR	MBA	
39.	PRUTHI PARULEKAR .	MBA .	
40	Tanishka . S. Lotlikar	MBA	
41	Siya . S. Gaonkar	MBA	
42.	Ritisha S. Shenoy Sangaonkar.	MBA	
43.	Falak Cassim Shah	MBA	
44	Gaikwad Santosh Sambhaji	MBA	
45	VRUSHABH NAGESHKAR	MBA	
46	Unmesh Naik	MBA	
47	Karunesh Naik	MBA	